

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)**USE FEC MAILING LABEL
OR TYPE OR PRINT**Example: If typing, type
over the lines

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street)

1201 F Street, NW Suite 450

☐Check if different
than previously
reported. (ACC)

Washington

DC

20004

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00111559

3. IS THIS
REPORT☒NEW
(N)**OR**☐AMENDED
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15
Quarterly Report(Q1)☐July 15
Quarterly Report(Q2)☐October 15
Quarterly Report(Q3)☐January 31
Quarterly Report(YE)☐July 31 Mid-Year
Report(Non-election
Year Only) (MY)☐Termination Report
(TER)(b) Monthly
Report
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☒Nov 20 (M11)
(Non-Election
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)
(Non-Election
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the
State of(d) 30-Day
Post -Election
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

10

01

2009

through

10

31

2009

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Ms Mary Lynn, Whalen

Signature of Treasurer

Electronically Filed by Ms Mary Lynn, Whalen

Date

11

17

2009

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3X**
(Rev. 12/2004)

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

2 / 162

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
1	0	0	1	2	0	0	9

To:

M	M	D	D	Y	Y	Y	Y
1	0	3	1	2	0	0	9

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2009		187328.13
(b) Cash on Hand at Beginning of Reporting Period	185417.85	
(c) Total Receipts (from Line 19)	30200.34	377394.05
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	215618.19	564722.18
7. Total Disbursements (from Line 31)	100.91	349204.90
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	215517.28	215517.28
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004)

3 / 162

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M	M
1	0

D	D
0	1

Y	Y	Y	Y
2	0	0	9

To:

M	M
1	0

D	D
3	1

Y	Y	Y	Y
2	0	0	9

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	27623.59	277486.67
(ii) Unitemized	2576.75	99907.38
(iii) TOTAL (add Lines 11(a)(i) and (ii)	30200.34	377394.05
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	30200.34	377394.05
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	30200.34	377394.05
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	30200.34	377394.05

DETAILED SUMMARY PAGE

of Disbursements

4 / 162

FEC Form 3X (Rev. 02/2003)

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)			
(i) Federal Share.....	0.00	0.00	
(ii) Non-Federal Share.....	0.00	0.00	
(b) Other Federal Operating Expenditures.....	0.00	0.00	
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ➤	0.00	0.00	
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00	
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	345000.00	
24. Independent Expenditure (use Schedule E)	0.00	0.00	
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00	
26. Loan Repayments Made.....	0.00	0.00	
27. Loans Made.....	0.00	0.00	
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees	100.91	4204.90	
(b) Political Party Committees	0.00	0.00	
(c) Other Political Committees (such as PACs)	0.00	0.00	
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	100.91	4204.90	
29. Other Disbursements.....	0.00	0.00	
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share	0.00	0.00	
(ii) "Levin" Share	0.00	0.00	
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00	
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00	
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	100.91	349204.90	
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	100.91	349204.90	

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

5 / 162

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	30200.34	377394.05
34. Total Contribution Refunds (from Line 28(d))	100.91	4204.90
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	30099.43	373189.15
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Christopher Burton

Mailing Address 140 E. 46th St. Apt. 3E

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 1 4 / 2 0 0 9

Transaction ID: 8300210

Amount of Each Receipt this Period

500.00

B.

Full Name (Last, First, Middle Initial)

Kimberly A Bailey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

202.84

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 1 5 / 2 0 0 9

Transaction ID: 8735210

Amount of Each Receipt this Period

14.71

C.

Full Name (Last, First, Middle Initial)

Joseph E. Ceonzo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 1 5 / 2 0 0 9

Transaction ID: 8735277

Amount of Each Receipt this Period

20.83

SUBTOTAL of Receipts This Page (optional)

535.54

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Colin J. Dunne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

705.84

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 1 5 / 2 0 0 9

Transaction ID: 8735333

Amount of Each Receipt this Period

58.82

B.

Full Name (Last, First, Middle Initial)

James F Martin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

368.48

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 1 5 / 2 0 0 9

Transaction ID: 8735517

Amount of Each Receipt this Period

26.32

C.

Full Name (Last, First, Middle Initial)

Henry B Moog

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

368.48

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 1 5 / 2 0 0 9

Transaction ID: 8735544

Amount of Each Receipt this Period

52.64

SUBTOTAL of Receipts This Page (optional)

137.78

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Christopher L O'Donnell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

353.78

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 1 5 / 2 0 0 9

Transaction ID: 8735574

Amount of Each Receipt this Period

37.94

B.

Full Name (Last, First, Middle Initial)

Timothy J Croak

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8735872

Amount of Each Receipt this Period

40.00

C.

Full Name (Last, First, Middle Initial)

Anthony J O'Callaghan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8736140

Amount of Each Receipt this Period

41.66

SUBTOTAL of Receipts This Page (optional)

119.60

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Christopher L O'Donnell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8736142

Amount of Each Receipt this Period

41.02

B.

Full Name (Last, First, Middle Initial)

Sahar A. Abdulahad

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

82.86

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8740353

Amount of Each Receipt this Period

0.00

[MEMO ITEM]

Refund(s) on Schedule B
Totaling \$4.71 This changes
the YTD Total to \$82.86

C.

Full Name (Last, First, Middle Initial)

Patrice Aitken

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8740354

Amount of Each Receipt this Period

0.00

[MEMO ITEM]

Refund(s) on Schedule B
Totaling \$20.83 This changes
the YTD Total to \$416-
.60

SUBTOTAL of Receipts This Page (optional)

41.02

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Nicole M. Andres

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8740355

Amount of Each Receipt this Period

0.00

[MEMO ITEM]

Refund(s) on Schedule B
Totaling \$10.42 This changes the YTD Total to \$208.40

B.

Full Name (Last, First, Middle Initial)

Todd D Aldrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8740356

Amount of Each Receipt this Period

0.00

[MEMO ITEM]

Refund(s) on Schedule B
Totaling \$10.41 This changes the YTD Total to \$208.20

C.

Full Name (Last, First, Middle Initial)

Robert C. Allsbrook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8740357

Amount of Each Receipt this Period

0.00

[MEMO ITEM]

Refund(s) on Schedule B
Totaling \$10.41 This changes the YTD Total to \$208.20

SUBTOTAL of Receipts This Page (optional)

0.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Lee D Abbey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

191.23

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8740358

Amount of Each Receipt this Period

0.00

[MEMO ITEM]

Refund(s) on Schedule B
Totaling \$14.71 This changes the YTD Total to \$191-
23

B.

Full Name (Last, First, Middle Initial)

Martin D Amann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

191.23

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8740359

Amount of Each Receipt this Period

0.00

[MEMO ITEM]

Refund(s) on Schedule B
Totaling \$14.71 This changes the YTD Total to \$191-
23

C.

Full Name (Last, First, Middle Initial)

Bruce B. Anderson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

191.23

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: 8740360

Amount of Each Receipt this Period

0.00

[MEMO ITEM]

Refund(s) on Schedule B
Totaling \$14.71 This changes the YTD Total to \$191-
23

SUBTOTAL of Receipts This Page (optional)

0.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Thomas T. Chung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324353345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

David S Alterman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324363345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Richard M Dart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324393345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Douglas Nieters

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324473345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jonathan Trauben

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324483345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Albert A. Scarola

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324493345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John R Bateman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324503345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michele Hirsch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324523345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Glenn M Ohlmann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324533345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Karl L Sussman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324573345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael J Caruso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324583345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

David A Cantor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324593345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.50

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jeffrey S Sohm

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324603345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Francis H Lusardi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324633345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Christopher C Wilson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324643345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

George W Coleman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324653345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Charles G. Froeb

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324673345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Chris Campbell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324703345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

166.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mika A. Toikka

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324713345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Samuel V Petrucci

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324733345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael J Cash

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324743345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

145.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Karen E Suric

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324773345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Kenneth J Dougherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324783345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael E Donnelly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324793345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Alan L Davis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324803345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Peter Song

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324833345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Salvatore Favia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324843345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gordon Greer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324853345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John S Harrison

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324863345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

George R Hornig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324883345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

437.50

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joseph F Huber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324893345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mark A Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324923345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ryan E. Schlitt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324973345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gerald M Lodge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11324993345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

James D. Allen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325003345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

William O. Brenton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325023345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.84

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Cari Lodge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325043345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Thomas H Ritchie

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325063345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Marcia L Kessler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325083345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael P Mulholland

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325143345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Albert S Park

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325153345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Janet M. Yung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325163345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 26 / 162

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ira A Negin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325173345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Edward A Poletti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325193345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Carlos Onis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.32

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325203345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 27 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John Craig Oxman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325213345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Semyon K Apter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325223345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ivy B Dodes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325233345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Timothy E. Perry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325243345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Douglas L Paul

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.32

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325253345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Stephen H. Can

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325263345

Amount of Each Receipt this Period

200.00

P/R Deduction (\$100.00 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

450.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Bernard S Loredo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325293345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael C Speller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325303345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michelle Baran Arrais

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325313345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael H. Schoen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325323345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Ana R Byrne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325333345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

William Leigh Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325373345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 31 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gavin H Wolfe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325383345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Richard Soto

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

249.50

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325413345

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

John C Robertshaw

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325433345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

318.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Patrice Aitken

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
 1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325443345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Lewis H Wirshba

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
 1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325463345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Richard A Hightower

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
 1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325473345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Stephen L Oplinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

866.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325493345

Amount of Each Receipt this Period

86.66

P/R Deduction (\$43.33 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael S Isikow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325503345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Steven H Garnett

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1020.87

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325513345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

211.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Nicole M. Andres

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325523345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$20.84 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

George C Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325543345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Robert Arsov

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325563345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William C Helm

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325573345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Anthony M. O'Connor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325593345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Patrick R. Ward

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325633345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 36 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ladd W McQuade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325643345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael Paliotta

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325653345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Deborah A Langiulli

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325663345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

333.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Paul J O'Keefe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325673345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Thomas J. Scott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325693345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Faye Yezril

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325713345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

208.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Robert P Brennan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325733345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John E Cogan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325753345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Andrew E Firstman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325773345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joseph Maissel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325803345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

David Y. Ping

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325813345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Oleg N Baranetz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325823345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 40 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William Lau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325833345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jim Captain

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325843345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Baskaran Muthusamy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325853345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Badarinath Vinjamuri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325863345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Lawrence A Hamdan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325943345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

William R Bors

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325953345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 42 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Andrew M Hutcher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11325963345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Amin Khadduri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326003345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ian J Buckley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326023345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.64

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 43 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Sheldon Hanau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326033345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jennifer Pepe McDade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326043345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Philip S Vasan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326083345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

458.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 44 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Bartholomew F Pinello

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326093345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michelle A Natalicchio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326113345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jill E Ford

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326133345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 45 / 162

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Stephen Ellenberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.25

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326143345

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Joel L Shandelman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326153345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Samuel H Schwartz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326163345

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

172.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 46 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Peter V Meyer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326223345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Alexander V Kroner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326243345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Patrick A Remmert, Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326263345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 47 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Louis J Impellizeri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326273345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Thomas E Fusco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326303345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jeffrey W Jaenicke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326323345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 48 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Colleen A Graham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326333345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Daniel H Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326343345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Edmund F Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326363345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mark C Styslinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326373345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

George L Michaels

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326383345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Thomas Lehrkinder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326403345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 50 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Steven M Yanez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326423345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Long P Wong

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326453345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Orlando W Knauss

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326463345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Robert J Harmon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326483345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Gautam S Gujral

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326493345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Edward S Nadel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326513345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 52 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Constance V Sailer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326533345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Reiner Boehning

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326553345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Angelo M May

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326563345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

333.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 53 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jeffrey A Altabef

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326583345

Amount of Each Receipt this Period

41.67

P/R Deduction (\$41.67 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Dennis J Blysick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326623345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Steven M Kaiman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326653345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.17

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 54 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Patrick C Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326693345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Gerard V Muldoon, Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326713345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Russell P Kaplan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

375.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326723345

Amount of Each Receipt this Period

35.30

P/R Deduction (\$17.65 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

285.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 55 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Emmet A Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326733345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Eduardo Vieira

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326743345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael A Legaspi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326753345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 56 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Edward A Johnson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326763345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Cristina Von Bargaen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326803345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jeffrey D Melnik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326813345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

166.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 57 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David N. Frank

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326823345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Lawrence M Latimer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326843345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

James J Jaxon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326883345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.64

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 58 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David J Barrish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326893345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

David S Goldman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326903345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Neil Berkowitz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326923345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 59 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Todd D Aldrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326943345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Victoria L Harmon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326973345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gail G Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11326983345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 60 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Maria Chiodi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

528.14

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327003345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Edward J Kelly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327023345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Christina Richardson-Bourke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327063345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

121.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 61 / 162

(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Vishwanathan R. Raghuraman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327083345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Nell Cady-Kruse

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327093345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Theodore M. Lowicki

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327103345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 62 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Roy E. Alexander

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327123345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Bryan M Reed

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327163345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Christopher J. Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

264.10

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327173345

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

71.06

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 63 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William M. Woodall

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327203345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jodi DeVito

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327233345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Anthony J. LaCivita

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327243345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 64 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Skip S Seeder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327253345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Craig Sterling

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327273345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joseph M. Petrecca

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327293345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 65 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David C. Sullivan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327303345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Julie Kalish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327313345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Heather L. Mosbacher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327323345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 66 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mark E Zima

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327353345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Lawrence Shurman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327363345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Paul M Simons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327373345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 67 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mary H. Lorimer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327383345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Philip C. King

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327393345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

James E Hiatt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327413345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 68 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Frank McGee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327433345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert E Joyce

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327463345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joshua Walfish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327543345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 69 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William E. Beck

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327573345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Todd M. Orlich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327623345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gregory L. Piccininno

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327633345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 70 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jeffrey L Gottheim

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327643345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Charles P. Pieper

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327683345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mattia E Filiaci

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327713345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 71 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mike D. Custar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327773345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Maria A. Banke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327803345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mark Barres

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327813345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 72 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Robert S Demetris

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327833345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Sanjeev Chaurasia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327853345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jeremy A. Siegel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327873345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 73 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Sean T Flynn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327883345

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Bruce K. Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327893345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Yong Yan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327913345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

305.56

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 74 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Raffi Makhoulian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327923345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Paul R Burraston

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327933345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joshua A. Lukeman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327943345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 75 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Alpa D Panchal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327953345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

David S. Sirkin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11327993345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Clare M. Giffin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328003345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 76 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jeffrey D. Schor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328023345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Timothy J. Fitzsimmons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328033345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gita S. Redfearn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328103345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 77 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jose L. Marques

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328123345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Francis J.X. Bravin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328143345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Yair Yaish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328163345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 78 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ellen M. Ho

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328203345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Matthew Hodus

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328223345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Alexander J. Witherill

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

498.95

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328233345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

94.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 79 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael Smushkovich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328283345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Sreekantha V. Babu

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328323345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Matthew B. Waldman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328403345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 80 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Kevin S. Monaco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328413345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jiawei Zhang

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328443345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

George E. Dale

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328453345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 81 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

George T Tasolides

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328493345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Cecilia E Lagerman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328503345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Thomas A. Finlan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328533345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 82 / 162

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John Sheehan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328543345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Gregory J Dabal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328553345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Douglas A Simons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328583345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.84

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 83 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mark L Washburn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328623345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Stephen P. Keefer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328643345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Neil A. Mitchell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328663345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 84 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

James M Bernard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328693345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Anna Vigdorova

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328713345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Lynn L. Little

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328733345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 85 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Adrienne Dale

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328763345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Alan C. Wolman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328773345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jason M Satsky

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328803345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 86 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Robert A Wrzosek

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328843345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Neil Carragher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328853345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Raed J Elkhatib

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328873345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 87 / 162

(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Roman Markevich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328883345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jeffrey C. Ponko

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328893345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

David T Chong

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328903345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 88 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David M. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328923345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mike Choi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328933345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Thomas D. Fleming

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11328963345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 89 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Brent D. Robbins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329003345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert C. Allsbrook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329033345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Anthony DeChellis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329093345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 90 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gregory K. Nersessian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329103345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mahesh Rajagopalan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329133345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Elizabeth J Canjar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329203345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.46

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 91 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John Fisher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329213345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert J Hennessey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329223345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Matthew S DeSalvo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329263345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 92 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Dwight A.L. Skerritt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329293345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mark A Rosen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329303345

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gregory E. Usherovich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329323345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

187.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 93 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

George F. Kasten

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
 1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329353345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Tracy L Welch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
 1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329393345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Steven J Reis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
 1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329413345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 94 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

James Wallace

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329423345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Russell J Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329433345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Scott Corman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329443345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

312.52

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 95 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Sankar R. Nair

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329463345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

John L Bordes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329493345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

John Kneafsey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329533345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 96 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Daniel Slattery

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329573345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mohamed Subrati

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329583345

Amount of Each Receipt this Period

33.34

P/R Deduction (\$16.67 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Eric Eckholdt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329613345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

95.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 97 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Rian J Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329623345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Satyajit Bhattacharya

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329633345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Todd A Buchner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329643345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 98 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael R. Pedulla

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329653345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Hans W Bald

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329683345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Lee Reichman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329703345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 99 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Paolo Mittiga

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329713345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

James B Drvostep

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329733345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

William L. Raincsuk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329753345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 100 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joseph A. Rosner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329763345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Charles A Skowron

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329773345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jamie L. Cook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329783345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 101 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joseph Favaro

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329823345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Joseph A Soave

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329843345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Yukiko Manka

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329853345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 102 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Karen M Snow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329873345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Donald E Pollard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329913345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Tom W Bilzi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329923345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 103 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Sean C. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329953345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Christopher J. Ceraso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329973345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jerald E Slowik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329983345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 104 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Andrew B Federbusch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11329993345

Amount of Each Receipt this Period

100.00

P/R Deduction (\$50.00 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Marc L Yannaco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330003345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jason R Woods

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330043345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

141.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 105 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Doug J. Kepple

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330073345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Timothy Darragh

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330083345

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mark Killian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330113345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

312.50

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 106 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Nicole P Douillet

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330133345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Glenn P Wittpenn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330163345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Shawn J Sullivan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330173345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 107 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Yongchu Song

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330203345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Kevin E Naughton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330263345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

William J. Belleville

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330283345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 108 / 162

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joshua S Blaine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330293345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Thaddeus D. Moore

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330303345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Timothy A. Dod

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1749.93

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330313345

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

229.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 109 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Steven M. Bock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330323345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

George Ching

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330333345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Edward Ghislandi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330343345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 110 / 162

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Bryant A Matthews

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330353345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael J McConnell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330363345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael J Valentinas

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330373345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 111 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Susan Atkinson-Alcock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR11330403345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Matthew Donner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

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☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12364753345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Stephen R Dunne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

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☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12364813345

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

138.88

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 112 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Matthew W Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12365063345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Gregory D Kennedy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12365413345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Bruce Klein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.66

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12365443345

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

367.64

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 113 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ann K Laemmle

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12365533345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Stefano Natella

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12365903345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Moshe Orenbuch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.66

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12365943345

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

346.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 114 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Robert N. Pomroy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12366023345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Joseph E Reece

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

3083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12366063345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joseph R Sevita

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.46

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12366233345

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

475.50

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 115 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Robert M. Spingarn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

498.95

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12366403345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Darci J Tobin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12366503345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Albert L Seward

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

3083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12691133345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

302.62

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 116 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Anthony Blasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12691223345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Christopher D Suozzi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12691333345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Edward M Yorke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.79

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR12691513345

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

180.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 117 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Katherine C Joyce

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17218343345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Gregory Florek

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17871493345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Victoria A Longo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

894.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17871573345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

164.10

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 118 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Lisa A. Dorenfest

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17871583345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jon A Bernstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884473345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

John C Broderick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

446.85

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884483345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

146.94

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 119 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Alastair J Cairns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884493345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Christopher Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

513.90

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884503345

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ann Larsen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884583345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

118.06

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 120 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John J Lentini

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

249.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884593345

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Frank R Malarkey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884603345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Steven E Ploder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884623345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

88.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 121 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Benjamin A Ruf

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884653345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Peter O Skoglund

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

837.66

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884663345

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

William J Szilasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

446.85

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884683345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

211.96

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 122 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Heidi A Tickle

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

528.27

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884693345

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John C Vlahoplus

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

498.95

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884703345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Richard S Wallach

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884713345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

153.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 123 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Andrew J Yao

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR17884723345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Nancy A Becker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263733345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Richard Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263753345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 124 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Biagio Bonanno

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263773345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael S Burke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263793345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michele D Cubic

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

575.36

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263813345

Amount of Each Receipt this Period

76.94

P/R Deduction (\$38.47 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

156.60

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 125 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Denise A Fiallo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263863345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Matthew T Gardner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263873345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Kurt R Gearhart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263883345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 126 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Simon P Goodman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263903345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Nadeem M. Hayat

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263923345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Neil M Holstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263933345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 127 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William F Hughes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

997.75

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263943345

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Derek K Jones

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22263973345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael Kurinets

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264023345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

188.58

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 128 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Carlos A Laboy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264033345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Joyce S Lim

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

207.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264043345

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mara B Lipner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264053345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

276.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 129 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Malcolm Campbell MacColl

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264063345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Todd Macy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264073345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Yuri Mamrukov

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264083345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 130 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Thomas M. Marchetti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264093345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Dale R Meder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264103345

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Keith G Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264113345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

88.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 131 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Scott C. Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264123345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Wendell A Mottley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264143345

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Cliona M Ni Chiosoig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264153345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

229.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 132 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael S Nies

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264163345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Shantha Ozgen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

498.95

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264183345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Darlene Pasquill

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264193345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

115.12

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 133 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Steven C Pierson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264203345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Hari Raghavan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264213345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Goutham Ramachandra

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264223345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 134 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joan A Rippon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264233345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jack L Rubin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

603.10

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264243345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ilicia P Silverman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264263345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

94.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 135 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David W Smythe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

513.90

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264273345

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John D Steel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

264.10

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264283345

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Kristen M Stewart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264293345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 136 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John Thorndike

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264323345

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Brian J Tijan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264333345

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Tracy M Urquiga

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

498.95

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264343345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

281.78

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 137 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John C Wilkins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

249.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264373345

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Daniel L Eggers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

498.95

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264413345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Henry N Goodman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

264.17

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264433345

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

108.38

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 138 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Donald A Hansen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264443345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael Jakob

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264453345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Christopher R. McDonough

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264473345

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 139 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Thomas P Rorke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264483345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Evan H Sils

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

228.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264493345

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Matthew H. Wosk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22264513345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

109.64

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 140 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Robert J Bernstone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22336813345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Anthony K Kontoleon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22336833345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mark D Callahan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR22620773345

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 141 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Robert S Flicker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR23546113345

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Fredrik L Akesson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061313345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Sean T Brady

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

789.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061373345

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

366.24

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 142 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jessica H. Brennan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

789.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061383345

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Peter M Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061403345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jonathan F Chiarieri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061413345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

210.54

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 143 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Matthew J Dillig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061453345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert E Durham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

789.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061463345

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Peter J Feeney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

789.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061473345

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

263.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 144 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Daniel P Fitzgerald

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061493345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Ryan C Gold

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061523345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Janet A Hickey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

789.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061543345

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

210.54

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 145 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Sanford M Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

789.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061623345

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Richard J Kirsch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061633345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Thomas A. Laspada

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

236.85

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061673345

Amount of Each Receipt this Period

31.58

P/R Deduction (\$15.79 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

189.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 146 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Edward V Lewandowski

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061703345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

David J Maloy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

789.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061733345

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Brian P McGovern

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061753345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

210.54

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 147 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Charles T McKinney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061763345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael E Moran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061803345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Rajeshwarakumar V. Pannala

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061883345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

157.92

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 148 / 162

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael A Proskin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061913345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Philip J Struthers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

394.80

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33061923345

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gautam K Ahuja

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583463345

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

160.84

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 149 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Garry T Collins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583503345

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Paul E. Coudrier

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

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☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

388.92

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583513345

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Lee D Abbey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

191.23

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583573345

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

140.54

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 150 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Martin D Amann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

191.23

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583583345

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Bruce B. Anderson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

191.23

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583593345

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Keith G Angell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583603345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

117.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 151 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Brian J Birnbaum

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583653345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jamie V Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.66

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583663345

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Roderick O Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583693345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

235.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 152 / 162

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mary Abigail V. Caedo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

323.51

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583703345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Paul V Calderone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583713345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Simon Chiu

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583733345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

176.46

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 153 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gregory C Dietrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583773345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

James Doherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583783345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Constantin A Filitti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583833345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

176.46

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 154 / 162

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Balaji Gopalakrishnan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.66

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583873345

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Susan H Jo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583933345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ishan Lal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583973345

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

235.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 155 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Nancy McAllister

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.66

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33583993345

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert B Perlman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.66

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584043345

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Egidio G. Robertiello

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.46

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584073345

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

294.12

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 156 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Nathan P Romano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.79

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584093345

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Anthony J Rose

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.46

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584103345

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

David A Schrader

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.79

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584133345

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

294.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 157 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Salvatore J Seguna

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.46

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584143345

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Ryan M Sprott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.46

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584173345

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Eric J Staub

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.46

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584183345

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

176.52

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 158 / 162

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Susan Swanezy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.79

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584193345

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

George P Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1911.78

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584203345

Amount of Each Receipt this Period

294.12

P/R Deduction (\$147.06 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

William J Treen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

764.79

Date of Receipt

M M / D D / Y Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584223345

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

529.44

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 159 / 162

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jamie W Welch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

764.79

Date of Receipt

M M / D D / Y Y Y Y
1 0 / 3 1 / 2 0 0 9

Transaction ID: PR33584253345

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

117.66

TOTAL This Period (last page this line number only)

27623.59

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 160 / 162

☐ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☒ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A. Full Name (Last, First, Middle Initial) Patrice Aitken	Transaction ID: 8300489 Date of Disbursement
Mailing Address 11 Madison Ave	<div> <div>M M / D D / Y Y Y Y</div> <div>1 0 / 1 4 / 2 0 0 9</div> </div>
<div> <div>City New York State NY Zip Code 10010</div> <div> <div>Purpose of Disbursement</div> <div>Refund of contribution</div> <div>Candidate Name</div> </div> <div> <div>010</div> <div>Category/Type</div> </div> </div>	Amount of Each Disbursement this Period <div>20.83</div>
<div> <div>Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President</div> <div>State: District:</div> <div>Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</div> </div>	Refund of contribution
B. Full Name (Last, First, Middle Initial) Todd D Aldrich	Transaction ID: 8300500 Date of Disbursement
Mailing Address 11 Madison Ave	<div> <div>M M / D D / Y Y Y Y</div> <div>1 0 / 1 4 / 2 0 0 9</div> </div>
<div> <div>City New York State NY Zip Code 10010</div> <div> <div>Purpose of Disbursement</div> <div>Candidate Name</div> </div> <div> <div>010</div> <div>Category/Type</div> </div> </div>	Amount of Each Disbursement this Period <div>10.41</div>
<div> <div>Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President</div> <div>State: District:</div> <div>Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</div> </div>	
C. Full Name (Last, First, Middle Initial) Robert C. Allsbrook	Transaction ID: 8300501 Date of Disbursement
Mailing Address 11 Madison Ave	<div> <div>M M / D D / Y Y Y Y</div> <div>1 0 / 1 4 / 2 0 0 9</div> </div>
<div> <div>City New York State NY Zip Code 10010</div> <div> <div>Purpose of Disbursement</div> <div>Candidate Name</div> </div> <div> <div>010</div> <div>Category/Type</div> </div> </div>	Amount of Each Disbursement this Period <div>10.41</div>
<div> <div>Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President</div> <div>State: District:</div> <div>Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</div> </div>	

SUBTOTAL of Disbursements This Page (optional)

41.65

TOTAL This Period (last page this line number only)

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 161 / 162

☐ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☒ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Lee D Abbey

Mailing Address 11 Madison Ave

City
New York

State
NY

Zip Code
10010

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 8300503

Date of Disbursement

/ /

Amount of Each Disbursement this Period

14.71

B.

Full Name (Last, First, Middle Initial)

Martin D Amann

Mailing Address 11 Madison Ave

City
New York

State
NY

Zip Code
10010

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 8300504

Date of Disbursement

/ /

Amount of Each Disbursement this Period

14.71

C.

Full Name (Last, First, Middle Initial)

Bruce B. Anderson

Mailing Address 11 Madison Ave

City
New York

State
NY

Zip Code
10010

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 8300505

Date of Disbursement

/ /

Amount of Each Disbursement this Period

14.71

SUBTOTAL of Disbursements This Page (optional)

44.13

TOTAL This Period (last page this line number only)

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 162 / 162

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input checked="" type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Sahar A. Abdulahad

Mailing Address 11 Madison Ave

City
New York

State
NY

Zip Code
10010

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 8300506

Date of Disbursement

/ /

Amount of Each Disbursement this Period

4.71

B.

Full Name (Last, First, Middle Initial)

Nicole M. Andres

Mailing Address 11 Madison Ave

City
New York

State
NY

Zip Code
10010

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 8300507

Date of Disbursement

/ /

Amount of Each Disbursement this Period

10.42

SUBTOTAL of Disbursements This Page (optional)

15.13

TOTAL This Period (last page this line number only)

100.91